

**On Letter head of the company/ firm/ trust / Association / Club / Society**

**To**

**Date:**

The Manager  
 The Cosmos Co-op. Bank Ltd;  
 -----Branch

Sub:- Declaration regarding controlling ownership / Beneficial interest .

I/ We am/are CEO/CFO/ Director/ authorized signatory/ partner/ trustee/ settlor/committee member/ company Secretary of ----- (Name of the entity) -----  
 -----

Following are the details of the entity applying for opening of account with your Bank / having account no. \_\_\_\_\_ at your branch.

Constitution [ Tick ( ✓ ) one ]	<ul style="list-style-type: none"> <li><input type="radio"/> Private Limited Company (PVT.LTD)</li> <li><input type="radio"/> Public Ltd company (Unlisted)</li> <li><input type="radio"/> Listed Company</li> <li><input type="radio"/> Subsidiary Of Listed Company</li> <li><input type="radio"/> Partnership Firm / Limited Liability partnership (LLP)</li> <li><input type="radio"/> Trust</li> <li><input type="radio"/> Unincorporated Association (Body Of Individuals)</li> <li><input type="radio"/> Registered societies / Clubs /Association</li> <li><input type="radio"/> Others _____ ( Please specify)</li> </ul>						
Name/s of the Beneficial Owner/s with % (The person/s exercise/s control through ownership or has / have a controlling ownership interest) *  <b>(Note – In case of Public Listed company and subsidiary of Listed company, please mention Not applicable)</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 10%;"><u>Sr.No.</u></th> <th style="text-align: left; width: 70%;"><u>NAME</u></th> <th style="text-align: left; width: 20%;"><u>(%)</u></th> </tr> </thead> <tbody> <tr> <td style="height: 150px;"> </td> <td> </td> <td> </td> </tr> </tbody> </table>	<u>Sr.No.</u>	<u>NAME</u>	<u>(%)</u>			
<u>Sr.No.</u>	<u>NAME</u>	<u>(%)</u>					

a) In case of company, "Controlling ownership interest" means ownership of/entitlement to **more than 10% of the shares or capital or profits of the company.**

**Explanation –**

"Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements

**b) In case of Partnership Firm, the beneficial owner is the natural person(s), who whether acting alone or together, or through one or more juridical person, has / have ownership of/entitlement to more than 10% of capital or profits of the partnership.**

**c) In case of unincorporated association or body of individuals the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has /have ownership of /entitlement to more than 15% of the property or capital or profits of the unincorporated association or body of individuals.**

**Explanation –**

Term "Body of individuals" includes societies .Where no natural person is identified under (a) ,(b) or (c) above , the beneficial owner is the relevant natural person who holds the position of senior managing official.

d) In case of Trust, identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 10 % or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

I/ we hereby state and confirm that the above information is true and correct to the best of my / our knowledge and belief. I/ we agree to indemnify and deep indemnified at all times from and against all costs, charges, damages, penalties (including reasonable attorney fees suffered and or incurred by the Cosmos Bank for any act done or omitted to be done on the above declaration.

Authorized Signatories (Signature along with Rubber stamp)

-----

-----

-----

(Please note: Declaration about BO should be supported by document up to the satisfaction of the bank)

